	Case 3:15-cv-05112 Document 1	Filed 11/09/15 Page 1 of 25
1 2 3 4	KAPLAN FOX & KILSHEIMER LLP Laurence D. King (SBN 206423) lking@kaplanfox.com Linda M. Fong (SBN 124232) lfong@kaplanfox.com 350 Sansome Street, Suite 400 San Francisco, California 94104	
5	Telephone: (415) 772-4700 Facsimile: (415) 772-4707	
6 7 8 9 10	<b>CENTER FOR SCIENCE IN THE PUBL</b> Maia Kats (to be admitted <i>pro hac vice</i> ) mkats@cspinet.org William Thanhauser (to be admitted <i>pro hac</i> wthanhauser@cspinet.org 1220 L Street, NW, Suite 300 Washington, DC 20005 Telephone: (202) 777-8381 Facsimile: (202) 265-4954	
11	REESE LLP	
12 13 14	Michael R. Reese (SBN 206773) mreese@reesellp.com 100 West 93rd Street, 16th Floor New York, NY 10025 Telephone: (212) 643-0500 Facsimile: (212) 253-4272	
15	Counsel for Plaintiffs and the Proposed Clas	ses
16 17 18		ES DISTRICT COURT TRICT OF CALIFORNIA
<ol> <li>19</li> <li>20</li> <li>21</li> <li>22</li> <li>23</li> <li>24</li> <li>25</li> <li>26</li> <li>27</li> <li>28</li> </ol>	NANCY COE, TORI CASTRO, and PAMELA MIZZI, individually, and on behalf of those similarly situated, Plaintiffs, v. GENERAL MILLS, INC., Defendants.	CASE NO. 3:15-cv-5112 CLASS ACTION COMPLAINT Demand for Jury Trial
		Case No. 3:15-cv-5112 CTION COMPLAINT
	CLASS AG	

# Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 2 of 25

1	Plaintiffs Nancy Coe, Tori Castro, and Pamela Mizzi (collectively, "Plaintiffs"), on behalf
2	of themselves and others similarly situated bring this Class Action Complaint against General
3	Mills, Inc. ("Defendant" or "General Mills"), and on the basis of personal knowledge,
4	information and belief, and investigation of counsel, allege as follows:
5	INTRODUCTION
6	1. Cheerios Protein is a breakfast cereal manufactured, sold and marketed by General
7	Mills.
8	2. During the Class Period (as defined below), Plaintiffs purchased Cheerios Protein
9	in California or New York.
10	3. General Mills falsely and misleadingly markets Cheerios Protein to children and
11	adults as a high protein, healthful alternative to Cheerios.
12	4. In fact, Cheerios Protein has only a smidgen more protein per serving than
13	Cheerios, or 4 grams, which is only 5% of the average American daily protein intake.
14	5. Most of that 4 grams is attributable to differences in serving sizes: Cheerios
15	Protein has a bigger, 55 gram serving size, whereas Cheerios uses a 27 gram serving size.
16	6. Two hundred calories' worth of Cheerios Protein has a mere 7/10th of a gram
17	more of protein than 200 calories' worth of Cheerios.
18	7. Rather than protein, the principal ingredient that distinguishes Cheerios Protein
19	from Cheerios is sugar. Cheerios Protein has 17 times as much sugar per serving, as Cheerios,
20	which General Mills does not prominently disclose.
21	8. General Mills charges a price premium for Cheerios Protein.
22	9. Plaintiffs would not have purchased or paid more for Cheerios Protein had they
23	realized that it provides trivially more protein than Cheerios.
24	10. Plaintiffs would not have purchased or paid more for Cheerios Protein had they
25	known that it has 17 times as much sugar per serving as Cheerios.
26	11. Plaintiffs seek damages and an injunction to stop General Mills's false and
27	misleading marketing practices with regard to Cheerios Protein.
28	
	- 2- Case No. 3:15-cv-5112 CLASS ACTION COMPLAINT

1

### JURISDICTION

12. This Court has jurisdiction over this action under the Class Action Fairness Act,
28 U.S.C. § 1332(d). The amount in controversy exceeds the sum or value of \$5,000,000,
exclusive of interest and costs, and more than two-thirds of the members of the Class reside in
states other than the state of which Defendant is a citizen.

6 13. Venue is proper in this Court pursuant to 28 U.S.C. § 1391 because a substantial
7 part of the events and misrepresentations giving rise to Plaintiffs' claims occurred in this District,
8 and Defendant (1) is authorized to conduct business in this District and has intentionally availed
9 itself of the laws and markets of this District through the promotion, marketing, distribution and
10 sale of its products here, (2) resides in this District, and (3) is subject to personal jurisdiction in
11 this District.

12

### PARTIES

13 14. Plaintiff Nancy Coe ("Coe") is a resident of the City and County of San Francisco, 14 California. During the Class Period (as defined below), in California, she purchased Cheerios 15 Protein for personal, family, or household use. The front of the box said "Cheerios Protein" in 16 large, bolded letters. Coe relied upon this representation, as well as others on the box label and 17 the trust she placed in the Cheerios brand, when she purchased Cheerios Protein. She believed 18 that consuming Cheerios Protein would deliver substantially more protein than consuming 19 original Cheerios. She would not have purchased Cheerios Protein had she understood the true 20 nutritional profile of the product.

21 15. Plaintiff Tori Castro ("Castro") is a resident of Santa Clara County, California. 22 During the Class Period (as defined below), in California, she purchased Cheerios Protein for 23 personal, family, or household use. The front of the box said "Cheerios Protein" in large, bolded 24 letters. Castro relied on this representation, as well as others on the box label and the trust she 25 placed in the Cheerios brand, when she purchased Cheerios Protein. She believed that consuming 26 Cheerios Protein would deliver substantially more protein than consuming original Cheerios. She 27 would not have purchased Cheerios Protein had she understood the true nutritional profile of the 28 product.

# Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 4 of 25

1	16. Plaintiff Pamela Mizzi ("Mizzi") is a resident of Sayville, New York. During the
2	Class Period (as defined below), in New York, she purchased Cheerios Protein for personal,
3	family, or household use. The front of the box said "Cheerios Protein" in large, bolded letters.
4	Mizzi relied upon this representation, as well as others on the box label and the trust she placed in
5	the Cheerios brand, when she purchased Cheerios Protein. She believed that consuming Cheerios
6	Protein would deliver substantially more protein per calorie than consuming original Cheerios.
7	She would not have purchased Cheerios Protein had she understood the true nutritional profile of
8	the product.
9	17. Defendant General Mills is incorporated in Delaware, with its principal place of
10	business in Minneapolis, Minnesota.
11	18. General Mills is one of the world's largest food companies, producing and
12	marketing food products in the United States and throughout the world. General Mills sells food
13	products under the "Cheerios" brand name throughout New York, as well as the rest of the United
14	States.
15	FACTUAL ALLEGATIONS
16	19. Consumer demand for healthier foods has been growing rapidly and manufacturers
17	have been responding <sup>1</sup> —some by changing their ingredients and others merely by marketing their
18	products to appear healthier.
19	20. Protein, in particular, is "the hottest food ingredient trend in the United
20	States." <sup>2</sup> Fifty-four percent of consumers indicate that they want more protein in their diets. <sup>3</sup>
21	21. In this context—that is, with "Americans buying up almost anything that touts
22	protein," <sup>4</sup> and with cereal sales otherwise falling—General Mills launched Cheerios Protein in
23	<sup>1</sup> Christopher Doering, <i>Consumers Demand Healthier Ingredients</i> , USA TODAY, Apr. 3, 2015,
24	http://goo.gl/IxbIHs.
25	<sup>2</sup> Stephen Daniells, 'Protein Is the Hottest Functional Food Ingredient Trend in the United States': Packaged Facts, Food Navigator-USA, http://goo.gl/qSFjHW (last updated Dec. 23, 2014).
26	<sup>3</sup> Functional Foods: Key Trends & Developments in Ingredients, Prepared Foods, http://goo.gl/ncGzzj (last updated Mar. 4, 2015).
27 28	<ul> <li><sup>4</sup> Sarah Nassauer, <i>Cheerios Get a Protein Boost</i>, Wall St. J., http://goo.gl/LA7aQ7 (last updated May 27, 2014).</li> </ul>
	- 4- Case No. 3:15-cv-5112
	- 4- Case No. 3:15-c CLASS ACTION COMPLAINT

1	March 2014. <sup>5</sup> It produces and markets Cheerios Protein in two varieties, Oats & Honey and	
2	Cinnamon Almond.	
3	22. Cheerios became "brand of the year" for packaged foods advertising thereafter. <sup>6</sup>	
4	SPECIFIC MISREPRESENTATIONS, MATERIAL OMISSIONS, AND DECEPTIVE ACTS	
5	Misleading and Deceptive Name	
6	mistedding did Deceptive raine	
7	23. The Cheerios Protein product name is false and misleading because Cheerios	
8	Protein has virtually no more protein than Cheerios, but it implies otherwise.	
9	24. To increase sales, and guided by consumer trend data, General Mills leveraged the	ne
10	Cheerios reputation as a healthful breakfast cereal to market a cereal with materially higher	
11	protein levels.	
12	25. Plaintiffs reasonably believed that Cheerios Protein offered the known nutritiona	1
13	benefits of Cheerios with the added benefit of substantial additional protein.	
14	26. General Mills intended for Plaintiffs to be misled by Cheerios Protein by branding	ıg
15	it as "offer[ing] the benefits that go along with starting the day with 11g of protein and the great	ī
16	taste of Cheerios that kids and parents already know and love." <sup>7</sup>	
17	27. General Mills uses Cheerios as a reference point, or comparator, across the entire	•
18	Cheerios product line.	
19	28. General Mills names most Cheerios products according to the ingredient that	
20	distinguishes them from original Cheerios. For example, Chocolate Cheerios contains cocoa,	
21	whereas Cheerios does not. Dulce de Leche Cheerios contains caramel syrup, whereas Cheerios	s
22	does not. Banana Nut Cheerios contains banana puree, whereas Cheerios does not. Fruity	
23	Cheerios contains pear puree concentrate, whereas Cheerios does not. Multi Grain Cheerios	
24	contains rice, corn bran, sorghum, and millet, whereas Cheerios does not.	
25		
26	<ul> <li><sup>5</sup> See http://www.blog.generalmills.com/2014/05/a-protein-boost-for-your-breakfast/.</li> <li><sup>6</sup> Kacey Culliney, Cheerios Crowned 'Brand of the Year' in US Packaged Foods TV Advertising</li> </ul>	g,
27	BakeryAndSnacks.com, http://goo.gl/IVRECn (last updated Jan. 8, 2015). <sup>7</sup> Kevin Hunt, <i>A Protein Boost for Your Breakfast</i> , General Mills, http://goo.gl/p39YN2 (last	
28	updated May 28, 2014) (quoting Matt McQuinn, a General Mills senior marketing manager).	
	- 5- Case No. 3:15-cv-51	112
	CLASS ACTION COMPLAINT	

### Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 6 of 25

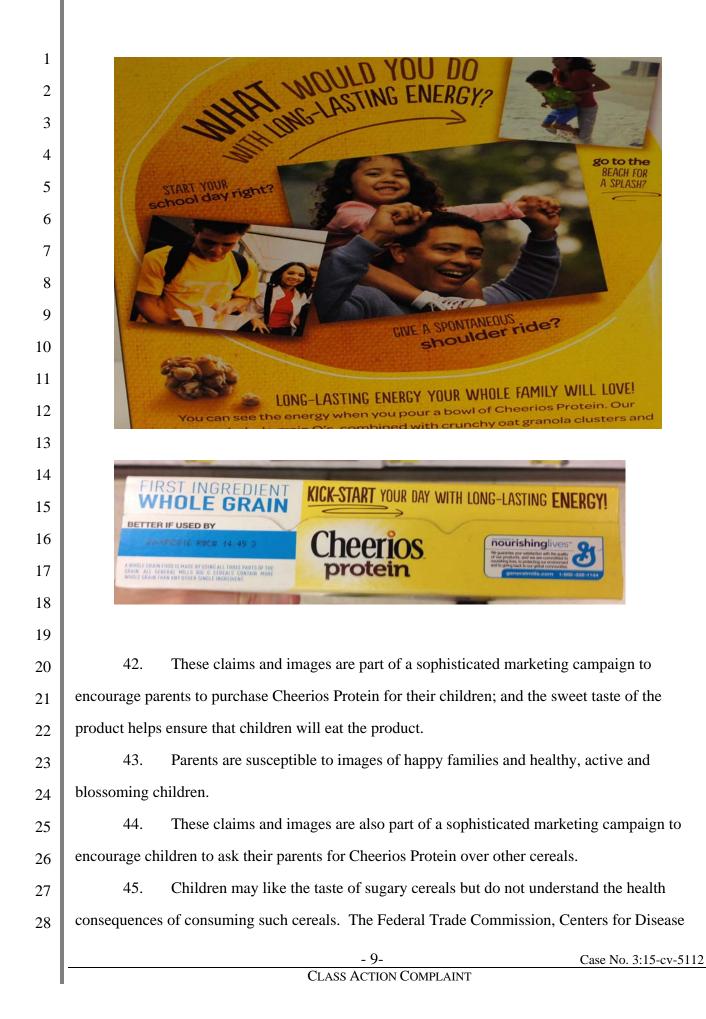
1 29. In contrast, both Cheerios Protein and Cheerios contain protein, and the protein per 2 calorie (or per gram) content is almost precisely equal, or only incrementally more using different 3 serving sizes. 30. 4 In-store displays of Cheerios Protein also promote the false belief that Cheerios 5 Protein is Cheerios with substantially more protein, with the large print highlighting the protein 6 content. See Illustration 1. 7 **Illustration 1 Cheerios Protein and Cheerios Display** 8 9 proteir protein 10 11 12 Cheerios 13 heerios 14 15 16 17 But, two hundred calories of Cheerios delivers 6 grams of protein whereas 200 31. 18 calories of Cheerios Protein (Oats & Honey) delivers only 6.7 grams, and 200 calories of 19 Cheerios Protein (Cinnamon Almond) only 6.4 grams. See Illustration 2. 20 32. So too, making use of the larger serving size used for Cheerios Protein, the protein 21 differential is still immaterial—a difference of 5% of the average daily intake. The serving size 22 for Cheerios Protein is 55 grams, whereas the serving size for Cheerios is 28 grams. Id. 23 **Illustration 2 Chart of Protein Content** 24 Nutrition Protein per 200 calorie Protein per 25 Facts Panel Calories per Cereal NFP serving serving (NFP) NFP serving (without milk) size 26 serving size Cheerios 100 1 cup 3 grams 6 grams 27 7 **Cheerios Protein**  $1\frac{1}{4}$  cup 210 6.7 grams 28 (Oats & Honey) - 6-

Case No. 3:15-cv-5112

# Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 7 of 25

Cereal	Nutrition Facts Panel (NFP) serving size	Calories per NFP serving	Protein per NFP serving size	Protein per 200 calorie serving (without milk)
Cheerios Protein (Cinnamon Almond)	1¼ cup	220	7	6.4 grams
33. While the	Cheerios Pro	tein box front r	prominently clai	ims that Cheerios Protein
			•	n supplementation with ha
a cup of skim milk, and r	ot from the co	ereal itself.		
<u>of t</u>			tive Omission redient: Adde	d Sugar
34. General M	Iills falsely ar	nd misleadingly	markets Cheer	rios Protein as having both
the same known benefits	as Cheerios a	nd substantiall	y more protein	than Cheerios.
35. General M	Iills, in its nar	ning and mark	eting of Cheerio	os Protein, misleadingly ar
deceptively omits any cle	ear and conspi	cuous indicatio	on that the produced	uct is laden with added su
Cheerios Protein contain	s 16-17 times	as much sugar	per serving as (	Cheerios. See Illustration
	C	Illustration hart of Sugar		
	NFP	Sugar per	Calories per	% of AHA's
Cereal	serving	NFP serving size	NFP serving size	recommended daily sugar limit for women (6 tsp) <sup>8</sup>
Cheerios	1 cup	1 gram	100	4%
Cheerios Protein (Oats & Honey)	1¼ cup	17 grams	210	68.3%
Cheerios Protein (Cinnamon Almon	d) 1 <sup>1</sup> /4 cup	16 grams	220	61.7%
36. The FDA	recognizes the	at such product	names are mis	leading:
<sup>8</sup> The American Heart As	sociation (AF	IA) recommen	ds that adult wo	omen and men consume no
more than six (approximation	ately 24 grams	s) and nine teas	spoons (approxi	mately 36 grams) of adde goo.gl/AUDI8A. In 1995,
U.S. Dietary Guidelines in 2015, recommended a	proposed a lin limit of appro	nit of approxim oximately 10%	ately ten teaspo of calories, or 5	oons per 2,000 calories, an 50 grams (approximately
12 teaspoons) per 2,000 c Guidelines Advisory Cor	calories. U.S. nmittee 9 (20 s a target of 10	Dep't of Agric 15), <i>available a</i> 0%, but has a p	c., Scientific Re <i>ut</i> http://goo.gl/J referred-for-hea	port of the 2015 Dietary [QJLdb. The World Healt alth-purposes target of 5%
-		- 7-		-
	CL	- /- ASS ACTION CO	MPLAINT	Case No. 3:15-cv-5

	Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 8 of 25
1 2 3	The labeling of a food which contains two or more ingredients may be misleading by reason (among other reasons) of the designation of such food in such labeling by a name which includes or suggests the name of one or more but not all such ingredients, even though the names of all such ingredients are stated elsewhere in the labeling.
4	See 21 C.F.R. § 101.18(b).
5	37. Cheerios Protein contains approximately 2.5 grams of sugar per gram of protein.
6	Of the 55 grams in a serving of Cheerios Protein, 16 or 17 grams is sugar, whereas only 7 grams
7	is protein. Put another way, Cheerios Protein is approximately 30% sugar but only 13% protein.
8	38. Consumers interested in the amount of protein they consume are likely averse to
9	consuming excessive amounts of added sugar because excessive sugar in the diet markedly
10	increases the risk and severity of type-2 diabetes, heart damage, obesity, and dental caries.
11	39. Unlike if it were named Sugar Frosted Cheerios, Cheerios Protein does not
12	reference sugar in its name, despite its being the key differentiating ingredient between it and
13	Cheerios, as well as being a characterizing ingredient under 21 C.F.R. § 102.5.
14	Misleading and Deceptive "GREAT START" Labeling
15	40. General Mills deceptively claims that Cheerios Protein is "a great start to your
16	day," that it will "start your school day right," and that it will "kick-start" your day.
17	41. In conjunction with these claims, the Cheerios Protein box contains a series of
18	appealing photographic images depicting healthy and successful kids and parents. See
19	Illustrations 4–6.
20	Illustrations 4–6
21	Great Start Claims and Photographic Imagery
22	Cheerios
23	protein Dats & Honey
24	Catscine
25	A GREAT START
26	TO YOUR DAT!
27	
28	PRUILIN
	- 8- Case No. 3:15-cv-51
	CLASS ACTION COMPLAINT



### Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 10 of 25

Control and Prevention, and United States Department of Agriculture, all recommend that
 children consume no more than 13 grams of added sugar per individual food serving, warning
 that added sugars can contribute to weight gain by providing excess calories or by diluting the
 nutrient density of the total diet.

*Each* serving of Cheerios Protein *exceeds* these recommended limits on added
sugar, containing 16 to 17 grams of added sugar per serving—or, 3 to 4 grams more added sugar
than the amount recommended by these agencies. Considering the average serving size over-pour
of 132% for Cheerios Protein, moreover, the number of grams by which the recommendations is
exceeded is often more than double that figure.<sup>9</sup>

47. General Mills knows that children's preferences, desires, and beliefs can influence
their parents' purchasing decisions.

- 48. General Mills's claims are false and misleading because processed foods
  containing about 30% of sugar by weight, or approximately four teaspoons of sugar per serving,
  are not healthful, do not provide "a great start to your day," and do not enable kids to "start [their]
  school day right," or "kick-start [their] day."
- 49. Instead, added sugar in processed foods has substantially contributed to ballooning
  rates of type-2 diabetes, obesity, and other diseases, leading the American Heart Association,
  World Health Organization, and the U.S. Department of Agriculture to call for a large reduction
  in the amount of sugar consumed by most Americans.

50. The labeling and marketing of Cheerios Protein does not enable consumers to
understand readily the significance of the respective amounts of protein and sugar (including
sugar syrup) contained in the product in the context of a total daily diet.

23 51. General Mills's implied claims of healthfulness on the Cheerios Protein label
24 constitute misbranding.

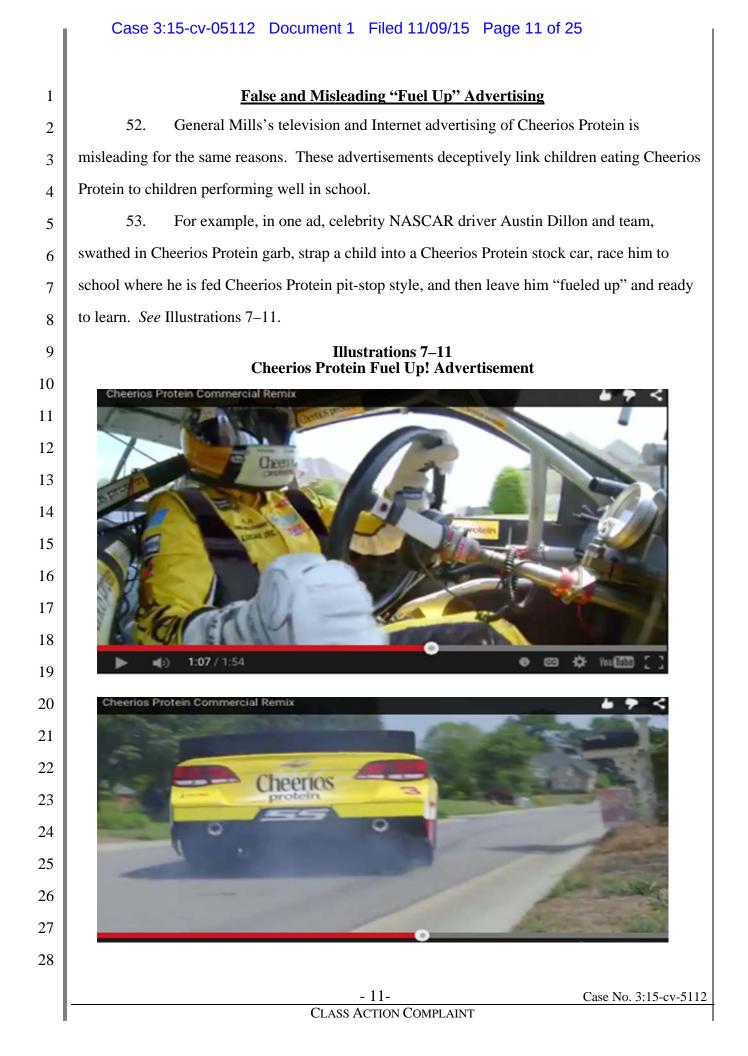
25

26

27

<sup>9</sup> Deborah Pike Olsen, *Cereal Portion Control Matters*, Consumer Reports, <u>http://goo.gl/AXvby5</u>
 (Dec. 11, 2014).

- 10-



#### Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 12 of 25



# Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 13 of 25

1	Protein sets children on course for health adversities including, but not limited to, obesity, type-2
2	diabetes, and/or dental caries.
3	<b>Reliance and Economic Injury</b>
4	55. When purchasing Cheerios Protein, Plaintiffs sought a product with a materially
5	higher level of protein than Cheerios.
6	56. When purchasing Cheerios Protein, Plaintiffs sought a healthful product that
7	would provide a nutritious start to the day.
8	57. Plaintiffs read and relied on General Mills's false and misleading product name
9	( <i>i.e.</i> , Cheerios Protein) and misleading claims in its labeling and advertising of the product.
10	58. Plaintiffs also saw and relied on images on the Cheerios Protein box, which
11	misleadingly depict healthy, high-performing children and families, and wholesome-looking
12	honey jars (though honey is a negligible ingredient). These images appeared in stores (on boxes),
13	on television, and on the Internet.
14	59. Plaintiffs purchased Cheerios Protein, and paid more for it than they would have
15	paid for Cheerios, believing the product had qualities they sought (e.g., healthfulness, a high
16	amount of protein relative to Cheerios) based on the misleading labeling and marketing; but, the
17	product was unsatisfactory to them for the reasons described.
18	60. Cheerios Protein costs on average about 5 cents more per ounce at stores like
19	Walmart and Safeway, or 10 cents more per serving.
20	61. Plaintiffs paid more for Cheerios Protein than they would have had they not been
21	misled by the false and misleading labeling and advertising complained of herein. Plaintiffs
22	would not have purchased Cheerios Protein absent these misrepresentations.
23	62. For these reasons, the Cheerios Protein products were worth less than what
24	Plaintiffs paid for them.
25	63. Plaintiffs purchased Cheerios Protein based on the false and misleading
26	representations described herein.
27	
28	
	- 13- Case No. 3:15-cv-5112 CLASS ACTION COMPLAINT

# Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 14 of 25

1	64. Instead of receiving products that have materially enhanced protein, or that were
2	more healthful, Plaintiffs received products that were protein-equivalent, and/or immaterially
3	different, and more sugar-laden than represented and reasonably understood to be.
4	65. Plaintiffs lost money as a result of General Mills's deception in that Plaintiffs did
5	not receive what they paid for.
6	66. Plaintiffs altered their position to their detriment and suffered damages in an
7	amount equal to the amount they paid for the Cheerios Protein.
8	67. By engaging in its misleading and deceptive marketing, sales and pricing scheme,
9	General Mills reaped and continues to reap increased sales and profits.
10	68. General Mills is familiar with marketing research and knows that many of its
11	customers purchase Cheerios Protein because they are health conscious and believe that the
12	product is materially higher in protein than Cheerios (or, effectively a fortified version of
13	Cheerios).
14	69. General Mills knows that the protein content and overall healthfulness of a product
15	are material to consumers' decision to purchase Cheerios Protein.
16	70. General Mills deliberately cultivates these misperceptions through its marketing,
17	sales and pricing scheme. Indeed, General Mills relies and capitalizes on consumer
18	misconceptions about Cheerios Protein.
19	CLASS ACTION ALLEGATIONS
20	71. Plaintiffs bring this action as a class action pursuant to Rule 23 of the Federal
21	Rules of Civil Procedure. The class that Plaintiffs Coe and Castro seek to represent (the
22	"California Class") is composed of and defined as follows:
23	All persons residing in California who have purchased Cheerios Protein for their
24	own use (which includes feeding their families), and not for resale, since March 1, 2014. Excluded from the Class are: governmental entities; Defendant; any entity
25	in which Defendant has a controlling interest; Defendant's officers, directors, affiliates, legal representatives, employees, co-conspirators, successors,
26	subsidiaries, and assigns; and, any judge, justice, or judicial officer presiding over this matter and the members of their immediate families and judicial staff.
27	72. The class that Plaintiff Mizzi seeks to represent (the "New York Class") is
28	composed of and defined as follows:
	- 14- Case No. 3:15-cv-5112 CLASS ACTION COMPLAINT

	Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 15 of 25
1	All persons residing in New York who have purchased Cheerios Protein for their
2	own use (which includes feeding their families), and not for resale, since March 1, 2014. Excluded from the Class are: governmental entities; Defendant; any entity
3	in which Defendant has a controlling interest; Defendant's officers, directors, affiliates, legal representatives, employees, co-conspirators, successors,
4	subsidiaries, and assigns; and, any judge, justice, or judicial officer presiding over this matter and the members of their immediate families and judicial staff.
5	73. For the purposes of this Complaint, the term "Class Members" refers collectively
6	to all members of both the New York Class and California Class, including the named Plaintiffs
7	for each.
8	74. This action is maintainable as a class action under Federal Rules of Civil
9	Procedure Rule $23(a)$ , and $(b)(2)$ and $(b)(3)$ .
10	75. <b>Numerosity</b> . The Classes each consist of many thousands of persons throughout
11	the State of California and the State of New York. Each Class is so numerous that joinder of all
12	members is impracticable, and the disposition of their claims in a class action will benefit the
13	parties and the Court.
14	76. <b>Commonality and Predominance</b> . The questions of law and fact common to
15	each Class have the capacity to generate common answers that will drive resolution of this action.
16	They predominate over any questions affecting only individual class members. Common
17	questions of law and fact include, but are not limited to, the following:
18	a. Whether General Mills contributed to, committed, or is responsible for the
19	conduct alleged herein;
20	b. Whether General Mills's conduct constitutes the violations of law alleged
21	herein;
22	c. Whether General Mills acted willfully, recklessly, negligently, or with
23	gross negligence in the violations of laws alleged herein;
24	d. Whether Class Members are entitled to injunctive relief; and
25	e. Whether Class Members are entitled to restitution and damages.
26	77. By seeing the name, labeling, display and marketing of Cheerios Protein, and by
27	purchasing Cheerios Protein, all Class Members were subject to the same wrongful conduct.
28	
	- 15- Case No. 3:15-cv-5112
	CLASS ACTION COMPLAINT

### Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 16 of 25

1 2 78. Absent General Mills's material deceptions, misstatements and omissions, Plaintiffs and other Class Members would not have purchased Cheerios Protein.

79. Typicality. Plaintiffs' claims are typical of the claims of the Classes, respectively,
because they all purchased Cheerios Protein products and were injured thereby. The claims of
Plaintiffs and other Class Members are based on the same legal theories and arise from the same
false, misleading and unlawful conduct.

80. Adequacy. Plaintiffs are adequate representatives of each respective Class
because their interests do not conflict with those of other Class Members. Each Class Member is
entitled to damages reflecting a similar and discrete purchase or purchases that each Class
Member made. Plaintiffs have retained competent and experienced class action counsel, who
intend to prosecute this action vigorously. The Class Members' interests will be fairly and
adequately protected by Plaintiffs and their counsel.

81. Superiority. A class action is superior to other available methods for the fair and
efficient adjudication of this controversy, because joinder of all Class Members is impracticable.
The amount at stake for each consumer, while significant, is such that individual litigation would
be inefficient and cost-prohibitive. Additionally, adjudication of this controversy as a class action
will avoid the possibility of inconsistent and potentially conflicting adjudication of the claims
asserted herein. Plaintiffs anticipate no difficulty in the management of this action as a class
action.

20 82. This Court should certify a class under Rule 23(b)(2) and (b)(3) because
21 Defendant has acted or refused to act on grounds that apply generally to both Classes, by making
22 illegal, unfair, misleading and deceptive representations and omissions regarding Cheerios
23 Protein.

83. Notice to the Class. Plaintiffs anticipate that this Court can direct notice to the
Classes, to be effectuated by publication in major media outlets and the Internet.

- 26
- 27
- 28

	Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 17 of 25
1 2 3	<u>FIRST CLAIM</u> ( <u>ON BEHALF OF THE CALIFORNIA CLASS)</u> (Violation of California Business & Professions Code §§ 17200 <i>et seq.</i> – Unlawful Conduct Prong of the UCL)
4	84. Plaintiffs Coe and Castro incorporate by reference all allegations contained in the
5	complaint as if fully set forth herein.
6	85. California Business & Professions Code section 17200 ("UCL") prohibits any
7	"unlawful, unfair or fraudulent business act or practice."
8	86. The acts, omissions, misrepresentations, practices, and non-disclosures of General
9	Mills, as alleged herein, constitute "unlawful" business acts and practices in that they violate the
10	Federal Food, Drug, and Cosmetic Act ("FFDCA") and its implementing regulations, including,
11	at least, the following sections:
12	a. 21 U.S.C. § 343, which deems food misbranded when the label contains a
13	statement that is "false or misleading in any particular," with "misleading" defined to "take[] into
14	account (among other things) not only representations made or suggested by statement, word,
15	design, device, or any combination thereof, but also the extent to which the labeling or
16	advertising fails to reveal facts material";
17	b. 21 U.S.C. § 321(n), which states the nature of a false and misleading
18	advertisement;
19	c. 21 C.F.R. § 101.18(b), which prohibits true statements about ingredients
20	that are misleading in light of the presence of other ingredients; and
21	d. 21 C.F.R. § 102.5(c), which prohibits the naming of foods so as to create
22	an erroneous impression about the presence or absence of ingredient(s) or component(s) therein.
23	87. General Mills's conduct is further "unlawful" because it violates the California
24	False Advertising Law ("FAL") and the Consumer Legal Remedies Act ("CLRA"), as discussed
25	in the claims below.
26	88. General Mills's conduct also violates the California Sherman Food, Drug, and
27	Cosmetic Law, Cal. Health & Saf. Code section 109875, et seq. ("Sherman Law"), including, at
28	least, the following sections:
	- 17- Case No. 3:15-cv-5112 CLASS ACTION COMPLAINT

1	a. Section 110100 (adopting all FDA regulations as state regulations);		
2	b. Section 110290 ("In determining whether the labeling or advertisement of		
3	a food is misleading, all representations made or suggested by statement, word, design, device,		
4	sound, or any combination of these, shall be taken into account. The extent that the labeling or		
5	advertising fails to reveal facts concerning the food or consequences of customary use of the		
6	food shall also be considered.");		
7	c. Section 110390 ("It is unlawful for any person to disseminate any false		
8	advertisement of any food An advertisement is false if it is false or misleading in any		
9	particular.");		
10	d. Section 110395 ("It is unlawful for any person to manufacture, sell,		
11	deliver, hold, or offer for sale any food that is falsely advertised.");		
12	e. Section 110398 ("It is unlawful for any person to advertise any food, drug,		
13	device, or cosmetic that is adulterated or misbranded.");		
14	f. Section 110400 ("It is unlawful for any person to receive in commerce any		
15	food that is falsely advertised or to deliver or proffer for delivery any such food"); and		
16	g. Section 110660 ("Any food is misbranded if its labeling is false or		
17	misleading in any particular.").		
18	89. Each of the challenged statements made and actions taken by General Mills		
19	violates the FFDCA, the CLRA, the FAL, and the Sherman Law, and therefore violates the		
20	"unlawful" prong of the UCL.		
21	90. General Mills leveraged its deception to induce Plaintiffs Coe and Castro and		
22	members of the California Class to purchase products that were of lesser value and quality than		
23	advertised.		
24	91. General Mills's deceptive advertising caused Plaintiffs Coe and Castro and		
25	members of the California Class to suffer injury in fact and to lose money or property, as it denied		
26	them the benefit of the bargain when they decided to purchase Cheerios Protein over other		
27	products that are less expensive, and contain virtually the same (or immaterially different) amount		
28	of protein and/or less added sugar. Had Plaintiffs Coe and Castro and the members of the		
	- 18- Case No. 3:15-cv-5112		
	CLASS ACTION COMPLAINT		

## Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 19 of 25

1	California Class been aware of General Mills's false and misleading advertising tactics, they
2	would not have purchased Cheerios Protein at all, or would have paid less than what they did for
3	it.
4	92. In accordance with California Business & Professions Code section 17203,
5	Plaintiffs Coe and Castro seek an order enjoining General Mills from continuing to conduct
6	business through unlawful, unfair, and/or fraudulent acts and practices and to commence a
7	corrective advertising campaign.
8	93. Plaintiffs Coe and Castro also seek an order for the disgorgement and restitution of
9	all monies from the sale of Cheerios Protein products that were unjustly acquired through act of
10	unlawful, unfair and/or fraudulent competition.
11	SECOND CLAIM
12	(ON BEHALF OF THE CALIFORNIA CLASS) (Violation of California Business & Professions Code §§ 17200, et seq. –
13	Unfair and Fraudulent Conduct Prong of the UCL)
14	94. Plaintiffs Coe and Castro incorporate by reference all of the allegations of the
15	preceding paragraphs as if fully set forth herein.
16	95. California Business & Professions Code section 17200 prohibits any "unlawful,
17	unfair or fraudulent business act or practice."
18	96. The false and misleading labeling of Cheerios Protein, as alleged herein,
19	constitutes "unfair" business acts and practices because such conduct is immoral, unscrupulous,
20	and offends public policy. Further, the gravity of General Mills's conduct outweighs any
21	conceivable benefit of such conduct.
22	97. The acts, omissions, misrepresentations, practices, and non-disclosures of General
23	Mills as alleged herein constitute "fraudulent" business acts and practices, because General
24	Mills's conduct is false and misleading to Plaintiffs and members of the California Class.
25	98. General Mills's labeling and marketing of Cheerios Protein is likely to deceive
26	Class Members about the protein content, overall healthfulness, and/or nutritional value of
27	Cheerios Protein.
28	
	- 19- Case No. 3:15-cv-5112 CLASS ACTION COMPLAINT

	Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 20 of 25			
1	99. General Mills either knew or reasonably should have known that the claims on the			
2	labels of Cheerios Protein were likely to deceive consumers.			
3	100. In accordance with California Business & Professions Code section 17203,			
4	Plaintiffs Coe and Castro seek an order enjoining General Mills from continuing to conduct			
5	business through unlawful, unfair, and/or fraudulent acts and practices and to commence a			
6	corrective advertising campaign.			
7	101. Plaintiffs Coe and Castro also seek an order for the disgorgement and restitution of			
8	all monies from the sale of Cheerios Protein products that were unjustly acquired through act of			
9	unlawful, unfair and/or fraudulent competition.			
10	THIRD CLAIM			
11	( <u>ON BEHALF OF THE CALIFORNIA CLASS</u> ) (Violation of California Business & Professions Code §§ 17500, <i>et seq.</i> –			
12	False and Misleading Advertising)			
13	102. Plaintiffs Coe and Castro incorporate by reference all allegations contained in the			
14	complaint as if fully set forth herein.			
15	103. California False Advertising Law (Cal. Business & Professions Code			
16	sections 17500 and 17508) prohibits "mak[ing] any false or misleading advertising claim."			
17	104. As alleged herein, General Mills, in its labeling of Cheerios Protein, makes "false			
18	[and] misleading advertising claim[s]," as it deceives consumers as to the relative protein content,			
19	sugar content, overall healthfulness, and nutritional value of Cheerios Protein.			
20	105. In reliance on these false and misleading advertising claims, Plaintiffs Coe and			
21	Castro and members of the California Class purchased and used Cheerios Protein without the			
22	knowledge that Cheerios Protein did not contain more or materially more protein than Cheerios,			
23	and was not a healthful breakfast and start to the day.			
24	106. General Mills knew or should have known that its labeling and marketing was			
25	likely to deceive consumers.			
26	107. As a result, Plaintiff Coe, Plaintiff Castro, and the California Class are entitled to			
27	injunctive and equitable relief, restitution, and an order for the disgorgement of the funds by			
28	which General Mills was unjustly enriched.			
	- 20- Case No. 3:15-cv-5112 CLASS ACTION COMPLAINT			
	CLASS ACTION COMILATINI			

	Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 21 of 25					
1	<u>FOURTH CLAIM</u> (ON BEHALF OF THE CALIFORNIA CLASS)					
2	(Violation of California Civil Code §§ 1750, <i>et seq.</i> – Consumers Legal Remedies Act)					
3	Consumers Legar Kenieules Act)					
4	108. Plaintiffs Coe and Castro incorporate by reference all allegations contained in the					
5	complaint as if fully set forth herein.					
6	109. The CLRA adopts a statutory scheme prohibiting various deceptive practices in					
7	connection with the conduct of a business providing goods, property, or services primarily for					
8	personal, family, or household purposes.					
9	110. General Mills's policies, acts, and practices were designed to, and did, result in the					
10	purchase and use of Cheerios Protein primarily for personal, family, or household purposes, and					
11	violated and continue to violate the following sections of the CLRA:					
12	a. Section 1770(a)(2), which prohibits representing that goods have a					
13	particular composition or contents that they do not have;					
14	b. Section 1770(a)(5), which prohibits representing that goods have					
15	characteristics, uses, or benefits that they do not have;					
16	c. Section 1770(a)(7), which prohibits representing that goods are of a					
17	particular standard, quality, or grade if they are of another;					
18	d. Section 1770(a)(9), which prohibits advertising goods with intent not to					
19	sell them as advertised; and					
20	e. Section 1770(a)(16), which prohibits representing that the subject of a					
21	transaction has been supplied in accordance with a previous representation when it has not.					
22	111. As a result, in accordance with Cal. Civ. Code section 1780(a)(2), Plaintiffs Coe					
23	and Castro and members of the California Class have suffered irreparable harm and are entitled to					
24	equitable relief in the form of an order:					
25	a. Enjoining General Mills from continuing to engage in the deceptive					
26	practices described above;					
27	b. Requiring General Mills to make full restitution of all monies wrongfully					
28	obtained as a result of the conduct described above;					
	- 21- Case No. 3:15-cy-5112					
	- 21- Case No. 3:15-cv-5112 CLASS ACTION COMPLAINT					

1	c. Requiring General Mills to disgorge all ill-gotten gains flowing from the				
2	conduct described above;				
3	d. Requiring General Mills to provide public notice of the true nature of				
4	Cheerios Protein; and				
5	e. Enjoining General Mills from such deceptive business practices in the				
6	future.				
7	112. Pursuant to Section 1782 of the CLRA, Plaintiffs are notifying General Mills in				
8	writing of the particular violations of Section 1770 of the CLRA (the Notice) and are demanding,				
9	among other actions, that Defendant cease marketing Cheerios Protein as set forth in detail above.				
10	If Defendant fails to respond to Plaintiffs' demand within 30 days of the Notice, pursuant to				
11	Section 1782 of the CLRA, Plaintiffs will amend this Complaint to request, in addition to the				
12	above relief, statutory damages, actual damages, punitive damages, and interest and attorneys'				
13	fees.				
14	FIFTH CLAIM				
15	( <u>ON BEHALF OF THE NEW YORK CLASS</u> ) (Violation of New York General Business Law § 349)				
16	113. Plaintiff Mizzi incorporates by reference all of the allegations contained in this				
17	Complaint as if fully set forth herein.				
18	114. New York General Business Law section 349 prohibits "deceptive acts or practices				
19	in the conduct of any business, trade or commerce or in the furnishing of any service."				
20	115. General Mills's labeling and marketing of Cheerios Protein, as alleged herein,				
21	constitute "deceptive" acts and practices, as such conduct misled Plaintiff Mizzi and other				
22	members of the New York Class, as to the relative protein content, overall healthfulness, and				
23	nutritional value of Cheerios Protein.				
24	116. In accordance with subsection (h) of N.Y. Gen. Bus. Law section 349, Plaintiff				
25	Mizzi seeks an order enjoining General Mills from continuing these unlawful deceptive acts and				
26	practices. Absent enjoining these unlawful deceptive acts and practices, General Mills will				
27	continue to falsely and misleadingly advertise the relative protein per calorie content, overall				
28					
	- 22- Case No. 3:15-cv-5112				
	CLASS ACTION COMPLAINT				

# 

1	healthfulness, and nutritional value of Cheerios Protein, and, in doing so, irreparably harm each					
2	of the New York Class members.					
3	117. As a consequence of General Mills's deceptive acts and practices, Plaintiff Mizzi					
4	and other members of the New York Class suffered an ascertainable loss of monies. By reasons					
5	of the foregoing, under subsection (h) of N.Y. Gen. Bus. Law section 349, Plaintiff Mizzi and					
6	other members of the New York Class also seek actual damages and punitive damages.					
7 8	SIXTH CLAIM (ON BEHALF OF THE NEW YORK CLASS) (Violation of New York General Business Law § 350)					
9	118. Plaintiff Mizzi incorporates by reference all of the allegations of the preceding					
10	paragraphs as if fully set forth herein.					
11	119. New York General Business Law section 350 prohibits "[f]alse advertising in the					
12	conduct of any business, trade or commerce or in the furnishing of any service."					
13	120. New York General Business Law section 350-a defines "false advertising" as					
14	"advertising, including labeling, of a commodity, or of the kind, character, terms or conditions of					
15	any employment opportunity if such advertising is misleading in a material respect." The section					
16	also provides that advertising can be false by omission, as it further defines "false advertising" to					
17	include "advertising [that] fails to reveal facts material in the light of such representations with					
18	respect to the commodity to which the advertising relates."					
19	121. General Mills's labeling, marketing, and advertising of Cheerios Protein, as					
20	alleged herein, are "misleading in a material respect," and thus "false advertising," as they falsely					
21	represent Cheerios Protein as having substantially more protein than original Cheerios, and as					
22	being healthful.					
23	122. Plaintiff Mizzi seeks an order enjoining General Mills from continuing this false					
24	advertising. Absent enjoining this false advertising, General Mills will continue to mislead					
25	Plaintiff Mizzi, other members of the New York Class, as to the relative protein per calorie					
26	content, overall healthfulness, and nutritional value of Cheerios Protein, and, in doing so,					
27	irreparably harm each of the New York Class members.					
28						

## Case 3:15-cv-05112 Document 1 Filed 11/09/15 Page 24 of 25

1	123.	As a direct and proximate result of General Mills's violation of New York General					
2	Business Law section 350, Plaintiff Mizzi and other members of the New York Class have also						
3	suffered an ascertainable loss of monies. By reasons of the foregoing, Plaintiff Mizzi and other						
4	members of the New York Class also seek actual damages and punitive damages.						
5	PRAYER FOR RELIEF						
6	WHEREFORE, Plaintiffs, on behalf of themselves and members of the proposed Classes						
7	herein, pray for judgment and relief on all of their legal claims as follows:						
8	A. An order certifying that the action may be maintained as a class action and						
9	requiring General Mills to bear the cost of class notice;						
10	В.	An order enjoining General Mills from pursuing the policies, acts and practices					
11	complained of herein;						
12	C. An order compelling General Mills to destroy all misleading and deceptive						
13	advertising materials and packaging;						
14	D. An order requiring General Mills to pay restitution to Plaintiffs and all members of						
15	the Class;						
16	E.	E. An order requiring General Mills to pay actual damages to Plaintiffs and all					
17	members of the Class;						
18	F.	Punitive damages;					
19	G.	Pre-judgment interest from the date of filing suit;					
20	H.	Costs, expenses, and reasonable attorneys' fees; and					
21	I.	Such other and further relief as the Court may deem necessary or appropriate.					
22							
23							
24							
25							
26							
27							
28							
		- 24- Case No. 3:15-cv-5112 CLASS ACTION COMPLAINT					

	Case 3:15-cv-05112	Document 1 Filed 11/09/15 Page 25	of 25			
1		IURV TRIAL DEMAND				
	JURY TRIAL DEMAND					
2						
3	Dated: November 9, 2015	KAPLAN FOX & KILSHEIMER L	LP			
4		By: <u>/s/ Laurence D. King</u> Laurence D. King				
5		Laurence D. King (SBN 206423)				
6		lking@kaplanfox.com Linda M. Fong (SBN 124232)				
7		lfong@kaplanfox.com 350 Sansome Street, Suite 400				
8		San Francisco, California 94104 Telephone: (415) 772-4700				
9		Facsimile: (415) 772-4707				
10		CENTER FOR SCIENCE IN THE Maia Kats (to be admitted <i>pro hac vice</i>				
11		mkats@cspinet.org William Thanhauser (to be admitted page)				
12		wthanhauser@cspinet.org 1220 L Street, NW, Suite 300	,			
13		Washington, DC 20005 Telephone: (202) 777-8381				
14		Facsimile: (202) 265-4954				
15		<b>REESE LLP</b> Michael R. Reese (SBN 206773)				
16		mreese@reesellp.com 100 West 93rd Street, 16th Floor				
17		New York, NY 10025 Telephone: (212) 643-0500				
18		Facsimile: (212) 253-4272				
19		Counsel for Plaintiffs and the Propose	d Classes			
20						
21						
22						
23						
24						
25						
26						
27						
28						
		- 25-	Case No. 3:15-cv-5112			
	l	CLASS ACTION COMPLAINT				